



## Identification Statement

For the purposes of this statement:

- **ASIC Instrument** means the *ASIC Corporations (Charitable Investment Fundraising) Instrument 2016/813*
- **CDF** means *Diocesan Development Fund Rockhampton*

Information to be Provided	Information Provided by CDF
Identification Information	<p>Full Name of CDF: The Roman Catholic Trust Corporation for the Diocese of Rockhampton trading as the Diocesan Development Fund Rockhampton ABN: 50979741889</p> <p>Corporate Status: Incorporated under Letters Patent pursuant to the <i>Religious Educational and Charitable Institutions Act 1861</i> (Qld).</p> <p>Address: 170 William Street, Rockhampton, Queensland, 4700</p>
Compliance	<p>The CDF relies upon exemptions under section 5(1) and 5(2) of the ASIC Instrument.</p> <p>The CDF has received advice and has implemented appropriate policies, practices and procedures to ensure that all relevant conditions of the exemptions are met. Such policies are revised and reviewed on a regular basis to ensure ongoing compliance.</p>
Financial year	From 1 January to 31 December of each year.
Australian Financial Services Licence	The CDF does not hold an Australian Financial Services Licence and is not required to hold such licence.
Charitable purpose	<p>The CDF's charitable purpose is the advancement of religion by promoting the charitable and educational activities of the Catholic Diocese of Rockhampton.</p> <p>Through the issue of debentures to investors the CDF raises funds to:</p> <ul style="list-style-type: none"><li>• Provide a source of finance and credit for capital and other expenditures in the work of the Catholic Church primarily within the Diocese of Rockhampton;</li><li>• Assist in the provision of financial stewardship of the investments and assets of the Diocese, parishes and other Catholic Church entities and ministries;</li><li>• Provide a means of promoting the charitable and educational activities of the Diocese.</li></ul>
Method of fundraising	The CDF will issue debentures as its method of fundraising.
Key terms	<p>Debentures are at call.</p> <p>Interest is paid according to the type of debenture and at prevailing published rates or by negotiation.</p> <p>The interest rate on at call debentures can be varied without prior notice.</p> <p>Standard interest rates are determined by the Committee of the CDF from time to time. The prevailing interest rates are published on our website <a href="http://www.rok.catholic.net.au">www.rok.catholic.net.au</a></p>



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	<p>The CDF does not charge any fees to debenture holders, however fees may be charged by third parties for the provision of products and services that have been facilitated through the CDF.</p>
Dormancy fees	<p>'Dormant accounts' are deemed by the CDF to be those accounts which have not been operated on for a period of greater than 7 years.</p> <p>'Operation' is deemed by the CDF to be either a self-initiated (by account holder) withdrawal or deposit. The crediting of interest to a fixed term account is considered an operation. Non-fixed term accounts that have only had interest being credited to the accounts for the last 7 years and are considered uncontactable (no response to either an email, mail or telephone call) are classified as dormant.</p> <p>The handling of dormant accounts is as follows:</p> <ul style="list-style-type: none"> <li>(i) No interest is earned by dormant accounts;</li> <li>(ii) The CDF will periodically contact potential dormant account holders to confirm the status of their account and to advise of the Dormant Account and Unclaimed Monies Policy implications, including the application of a dormant account fee.</li> <li>(iii) Accounts identified as dormant will be charged a fee for the maintenance of the account of \$5 per month.</li> <li>(iv) Accounts will be marked dormant until the account is either reactivated by the client, classified as unclaimed monies or the account reaches a nil balance (resulting in the closure of the account).</li> <li>(v) Customers who reactivate their account will have dormancy fees refunded and be paid the balance of their account.</li> </ul> <p>Please see Dormant &amp; Unclaimed Monies Policy on our website: <a href="http://www.rok.catholic.net.au">www.rok.catholic.net.au</a>.</p>
Investors	<p>The CDF will offer debentures to people and organisations as retail, associated clients, excluding voluntary staff members. Debentures are generally offered to potential investors in person or through printed and online material issued by the CDF.</p> <p>Statements of account will be issued for at call debentures. Investors have online access to their accounts and statements.</p>
Audited financial reports	<p>The CDF is not required to lodge audited financial statements with the Australian Charities and Not-for-profits Commission given its basic religious charity status.</p> <p>Consistent with the requirements of the ASIC Instrument, audited financial statements issued after 1 January 2018 will be lodged and published on our website <a href="http://www.rok.catholic.net.au">www.rok.catholic.net.au</a></p>
Guarantees and obligations	<p>CDPF Limited will provide a guarantee for the benefit of, and owe an obligation to, holders of any debentures issued by the CDF.</p>



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**Information to be Provided**

**Information Provided by CDF**

Acceptance of identification statement by a sponsor

This identification statement is to be accepted for the purposes of the ASIC Instrument by CDPF Limited. A copy of the Deed Poll for CDPF Limited may be found at <https://www.catholic.org.au/all-downloads/bishops-commissions-1/administration-and-information-1/1911-2003-sponsor-deed-poll/file>

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Completed by CDF



Authorised representative of CDF  
Date: 21 December 2017

Accepted by CDPF Ltd



Authorised representative of CDPF Ltd  
Date: 15 JANUARY 2018